

2011

CHECKLIST FOR SHIPMENTS CONTAINING EXCEPTED LITHIUM BATTERIES AND PREPARED IN ACCORDANCE WITH IATA Dangerous Goods Regulations (For use when a Shipper's Declaration for Dangerous Goods is not required)

Information may be provided by shippers in various forms. If a shipment contains lithium batteries and the shipper does not provide a dangerous goods declaration, we must have enough information from the shipper to determine which of the following descriptions and packing instructions apply in order to verify compliance and provide the proper documentation to the carrier.

Mark the boxes applicable to the batteries in the shipment. Resolve any "NO" responses before shipping.

	YES	NO	N/A
Lithium Ion Batteries (UN 3480; P.I. 965 section II)			<input type="checkbox"/>
1. Lithium Battery label* applied	<input type="checkbox"/>	<input type="checkbox"/>	
2. Document or info** to accompany shipment has been provided by shipper	<input type="checkbox"/>	<input type="checkbox"/>	
3. Package gross weight does not exceed 10KG	<input type="checkbox"/>	<input type="checkbox"/>	
4. The words "Lithium ion batteries", "not restricted" and "PI 965" is shown in the Additional Handling Information on the air waybill.	<input type="checkbox"/>	<input type="checkbox"/>	
 Lithium Ion Batteries packed with equipment (UN 3481; P.I. 966 Section II)			<input type="checkbox"/>
5. Lithium Battery label* applied	<input type="checkbox"/>	<input type="checkbox"/>	
6. Document or info** to accompany shipment has been provided by shipper	<input type="checkbox"/>	<input type="checkbox"/>	
7. The words "Lithium ion batteries", "not restricted" and "PI 966" is shown in the Additional Handling Information on the air waybill.	<input type="checkbox"/>	<input type="checkbox"/>	
 Lithium Ion Batteries contained in equipment (3481; P.I. 967 Section II)			<input type="checkbox"/>
8. If package contains more than 4 cells or 2 batteries in equipment Lithium Battery label* applied	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. If Lithium Battery label applied then document or info** to accompany shipment has been provided by shipper	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. The words "Lithium ion batteries", "not restricted" and "PI 967" is shown in the Additional Handling Information on the air waybill.	<input type="checkbox"/>	<input type="checkbox"/>	
 Lithium Metal Batteries (UN 3090; P.I. 968 Section II)			<input type="checkbox"/>
11. PROHIBITED ON PASSENGER AIRCRAFT IF TO FROM OR WITHIN THE USA and Packages and airwaybill must be marked; "PRIMARY LITHIUM BATTERIES-FORBIDDEN FOR TRANSPORT ABOARD PASSENGER AIRCRAFT" or "LITHIUM METAL BATTERIES-FORBIDDEN FOR TRANSPORT ABOARD PASSENGER AIRCRAFT"	<input type="checkbox"/>		<input type="checkbox"/>
12. Lithium Battery label* applied	<input type="checkbox"/>	<input type="checkbox"/>	
13. Document or info** to accompany shipment has been provided by shipper	<input type="checkbox"/>	<input type="checkbox"/>	
14. Package does not exceed 2.5 kg gross weight	<input type="checkbox"/>	<input type="checkbox"/>	
15. Applicable State and Carrier variations have been complied with	<input type="checkbox"/>	<input type="checkbox"/>	
16. Shipment routed on cargo aircraft only if shipped to from or within the USA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17. The words "Lithium metal batteries", "not restricted" and "PI 968" is shown in the Additional Handling Information on the air waybill.	<input type="checkbox"/>	<input type="checkbox"/>	

	YES	NO	N/A
Lithium Metal Batteries packed with equipment (UN 3091; P.I. 969 Section II)			<input type="checkbox"/>
18. Lithium Battery label* applied	<input type="checkbox"/>	<input type="checkbox"/>	
19. Document or info** to accompany shipment has been provided by shipper	<input type="checkbox"/>	<input type="checkbox"/>	
20. For shipments to from or within USA shipper confirms ok passenger aircraft (USG-02)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21. If customer advises cargo is prohibited for transport on passenger aircraft, packages and airwaybill are marked; "PRIMARY LITHIUM BATTERIES-FORBIDDEN FOR TRANSPORT ABOARD PASSENGER AIRCRAFT" or "LITHIUM METAL BATTERIES-FORBIDDEN FOR TRANSPORT ABOARD PASSENGER AIRCRAFT"	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
22. If customer advises cargo is prohibited for transport on passenger aircraft, shipment is routed on cargo aircraft only.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
23. Applicable State and Carrier variations have been complied with	<input type="checkbox"/>	<input type="checkbox"/>	
24. The words "Lithium metal batteries", "not restricted" and "PI 969" is shown in the Additional Handling Information on the air waybill.	<input type="checkbox"/>	<input type="checkbox"/>	
Lithium Metal Batteries contained in equipment (UN 3091; P.I. 970 Section II)			<input type="checkbox"/>
25. If package contains more than 4 cells or 2 batteries in equipment Lithium Battery label* applied	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
26. If Lithium Battery label applied then document or info** to accompany shipment has been provided by shipper	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
27. For shipments to from or within USA shipper confirms ok passenger aircraft (USG-02)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28. If customer advises cargo is prohibited for transport on passenger aircraft, packages and airwaybill are marked; "PRIMARY LITHIUM BATTERIES-FORBIDDEN FOR TRANSPORT ABOARD PASSENGER AIRCRAFT" or "LITHIUM METAL BATTERIES-FORBIDDEN FOR TRANSPORT ABOARD PASSENGER AIRCRAFT"	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29. If customer advises cargo is prohibited for transport on passenger aircraft, shipment is routed on cargo aircraft only.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30. Applicable State and Carrier variations have been complied with	<input type="checkbox"/>	<input type="checkbox"/>	
31. The words "Lithium metal batteries", "not restricted" and "PI 970" is shown in the Additional Handling Information on the air waybill.	<input type="checkbox"/>	<input type="checkbox"/>	
32. Required info** attached to or shown on airwaybill	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Completed by: _____ Date: _____ File# _____

Notes: _____

**** Lithium Battery document info when required:**

- * the package contains [specific lithium ion or lithium metal as applicable] cells or batteries
- * the package must be handled with care and that a flammability hazard exists if the package is damaged
- * special procedures should be followed in the event the package is damaged, to include inspection and repacking if necessary; and
- * a telephone number for additional information

***Lithium Battery Label:**

