

# JAMES CASSIDY

340 E 90<sup>th</sup> Street Apt 5A | New York, NY 10128 | (203) 219-5319 | CassidyJamesW@gmail.com

## WORK & LEADERSHIP EXPERIENCE

---

### Access Securities

**Stamford, CT**

*Risk Arbitrage Desk Analyst / Sales Trader*

*October 2016 - Present*

- Training program in sales trading focused on event-driven strategies
- Respond to ad-hoc research and client information requests
- Investigate, research and provide real-time merger-specific research and trade execution to hedge funds and other institutional investors

### Institute of Trading and Portfolio Management Mentorship Program

**New York, NY**

*Independent Trader*

*January 2015- December 2015*

- Executing Global long/short strategy based on Institute of Trading and Portfolio Management Coursework
- 2 week intensive strategy and networking session in Thailand, January 2015
- Selected for trading mentorship program after passing course with 91%: Distinction

### ZenithOptimedia

**New York, NY**

*Strategy and Planning Assistant*

*March 2013- Spring 2014*

- Prepared flowcharts and budgets for media planning using Excel, PowerPoint, and Mediatools
- Reviewed and verified media buys to ensure proper execution
- Maintain stewardship of competitive reports using strategy specific software to assess and interpret data and draw conclusions

### Strata Wealth Management

**Harrison, NY**

*Summer Analyst, Wealth Management*

*Summer 2011*

- Performed portfolio price analysis resulting in changes to more cost effective alternatives
- Performed due diligence and prepared reports on potential future investments for management
- Crafted portfolio projections and PowerPoint decks for potential and current clients

## EDUCATION

---

### The George Washington University

**Washington, DC**

*Bachelor of Arts in International Affairs, regional concentration in East Asia*

*May, 2012*

- Activities: Member, GWU Finance and Investments Club; member, GWU Strategic Crisis Simulations Club
- Relevant coursework: Economics of China, International Economics

### Rochester Institute of Technology

**Rochester, NY**

*Completed 40 credits towards Finance and International Business degree*

*2008-2010*

- Activities: Treasurer, Phi Delta Theta Fraternity
- Relevant coursework: International Business, Microeconomics, Macroeconomics, Principles of Marketing, Organizational Behavior, Financial Accounting

### Arcadia University International Business Program in Shanghai

**Shanghai, China**

- Relevant coursework: Managing Enterprises in China, 10-weeks of intensive Mandarin Chinese language training, Economics research project on American private equity firms in China

### Arcadia University Beijing Program

**Beijing, China**

- Relevant coursework: 10-weeks of intensive Mandarin Chinese language training. Conducted interviews and literature research on Chinese opinions of foreign policy for independent research project

## Licenses & Certifications

---

### Securities Licenses

- Series 7 (completed 11/2014)
- Series 63 (completed 11/2014)

### Institute of Trading and Portfolio Management

- Statistical Distribution of Asset Returns, OHLC Volatility Calculation, Implied Volatility Assessment
- Top Down Macroeconomic Asset Selection Process: Trade Idea Generation (Indices, Commodities, Currencies, and Rates)
- Top Down and Bottom Up appraisal for sector and Stock selection. GDP correlations (Lag Effects) to Asset Prices, Industry Sector (Value Chain) analysis, Stock analysis (Fundamental, Technical, Price Action): Trade Idea Generation for Alpha
- Risk Management: Watch list Generation (Technical & Price Action overlay), Trade Selection, Beta Hedging (Equities), Portfolio Construction Parameters, Kelly Criterion (All Asset Classes)
- Examination Mark = 91%: Distinction

### Wall Street Prep Financial Modeling and Valuation Seminar

- Introduction to financial modeling with extensive training in Microsoft Excel